CMS Data Resources
Informing the Affordable Care Act

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Session Overview

- Describe Current and Planned Survey and Administrative Data Efforts at CMS to Inform the Affordable Care Act (ACA)

  - CMS, Data and Implementation of ACA

  - Introduction to the Office of Information Products and Data Analytics (OIPDA)

  - Key Information/Data Products

  - Planned Enhancements and Future Areas of Focus

CMS, Data & ACA Implementation

- CMS is the largest single payer for health care services in the US
- Transitioning from a passive payer to active purchaser
- Responsible for implementing a large number of provisions under ACA
- 1.5 billion claims submitted annually
- Significant additional data sources on the way
  - EHRs, Medicare Advantage plan encounter data, Provider data (S. 6002), Health Insurance Exchange/Medicaid expansion data, etc.
- Receive billions of other "non-claim" data points
  - Assessments, quality measurement, 1-800-Medicare call data, etc.
- Expected to drive new innovation in health care
- Trusted to protect beneficiary privacy

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CMS' Office of Information Products and Data Analytics (OIPDA)

Without <u>timely and relevant</u> data and information, CMS cannot:

- Define or reward value

- Measure and evaluate programs

- Identify and spread innovation

- Provide providers data they need to transform health care delivery

- Give other key participants in the health data ecosystem the data and information required to assist and accelerate system transformation

Data and Information products must become a core business function for CMS!

OIPDA Priorities

- Improve accessibility of non-beneficiary identifiable data sets

- Disseminate beneficiary identifiable data to the greatest extent within the privacy laws

- Develop interactive information products that adapt to changing user needs

- Improve the data dissemination process (more timely and cost-effective)

- Strengthen ties to federal and private health partners

- Engage data user community to improve data strategy

- Managing integration of new data sources

OIPDA Data and Information Products

Data Products

- Chronic Condition Warehouse (CCW)
- Medicare Current Beneficiary Survey (MCBS)
- Public Use Files
- Medicare Data Sharing Program (ACA 10332)

Information Products

- Medicare & Medicaid Research Review
- Medicare & Medicaid Statistical Supplement
- CMS Fast Facts
- Special Statistical Reports
- Market Insight Research
- Delivery System Tracking
- Dashboards
- Geographic Variation Analytics
- Data Navigator

CCW Overview

- A CMS **research data center** created to support analysis on CMS populations, across different types of data and health care settings

- Patient-centric data files linked by unique beneficiary key, across the continuum of care

- Predefined chronic condition cohorts and other categorization schemes

- Medicare and Medicaid Claims from 1999 on (Parts A, B, D)

- All types of assessment data and Medicare enrollment data

- Supports *hundreds* of data requests, through the delivery or *thousands* of files to researchers each year.

CCW Key Linkage Features

- All CCW data files linked by assignment of a unique, unidentifiable beneficiary link key (BENE_ID) to support analysis across data files (unique link keys replace health insurance claim (HIC) numbers)

- Supports cross-sectional and longitudinal research from 1999 forward

- Supports construction of patient-centric views of enrollment and service utilization

- Link keys encrypted prior to delivery to researcher(s), for privacy protection

New Data Enhancements and Products in CCW

- Enhanced Race Codes

- Risk Score Data

- Provider Data (PECOS, UPIN/NPI crosswalk)

- Customized Research Identifiable Files

- Death Certificate Data

- Master Beneficiary Summary File

- New chronic condition indicators

- Enhanced Limited Data Sets – Part D

- BI tools and products

MCBS Overview

- The MCBS is a continuous, in-person, longitudinal survey of a representative national sample of the Medicare population.

- MCBS is the definitive survey for Medicare estimates and information on the Medicare population
  - 16,000 annual survey respondents
  - Extensive use of CMS administrative data (e.g. claims, drug data, etc.)

- 20+ years of continuous and extensive data collection
  - Approximately 1 million interviews conducted to date (since 1991)
  - 250 interviewers in the field, 365 days a year.

- Comprehensive: MA or FFS; Community or Facility; Aged or Disabled; Medicaid (Dual) status

MCBS Contents

- Demographics
- Health status and functioning
- Cost of care
- Preventive services
- Access to, satisfaction with, and usual source of care
- Health Insurance
- Household or facility characteristics

- Survey weights
- Community/ Institutional timeline
- Event specific information:
  - Inpatient/Outpatient
  - Provider
  - Drug
  - LTC
  - SNF
  - Dental

MCBS Data Files

- **Access to Care:**
  - Point in time
  - Always enrolled
  - No cost and utilization included
  - No imputation
  - n =16,000
 - Available 1 year after fielding

- **Cost and Use:**
  - Entire calendar year
  - Ever enrolled
  - Include costs and utilization and event-level info.
  - Imputation
  - n = 12,000
  - Available 2 years after fielding

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CMS Data Systems: Enhancements and Future Focus

- Manage Integration & Development of New Data Sources
  - Integrate new data sources from health reform with current data in the CCW.
  - Create linkage capabilities so data will support more innovative research and analytics.
  - Improve data timeliness, standardization, definitions and documentation.
- Improve Access to CMS Data and Develop New Dissemination Methods
  - CCW Data Enclave
  - New Public Use Files (PUFs)
- Create Interactive and High-value Data/Information Products
  - New Interactive Data and Information Products
  - Enhance Current Products

Integration & Development of New Data Sources
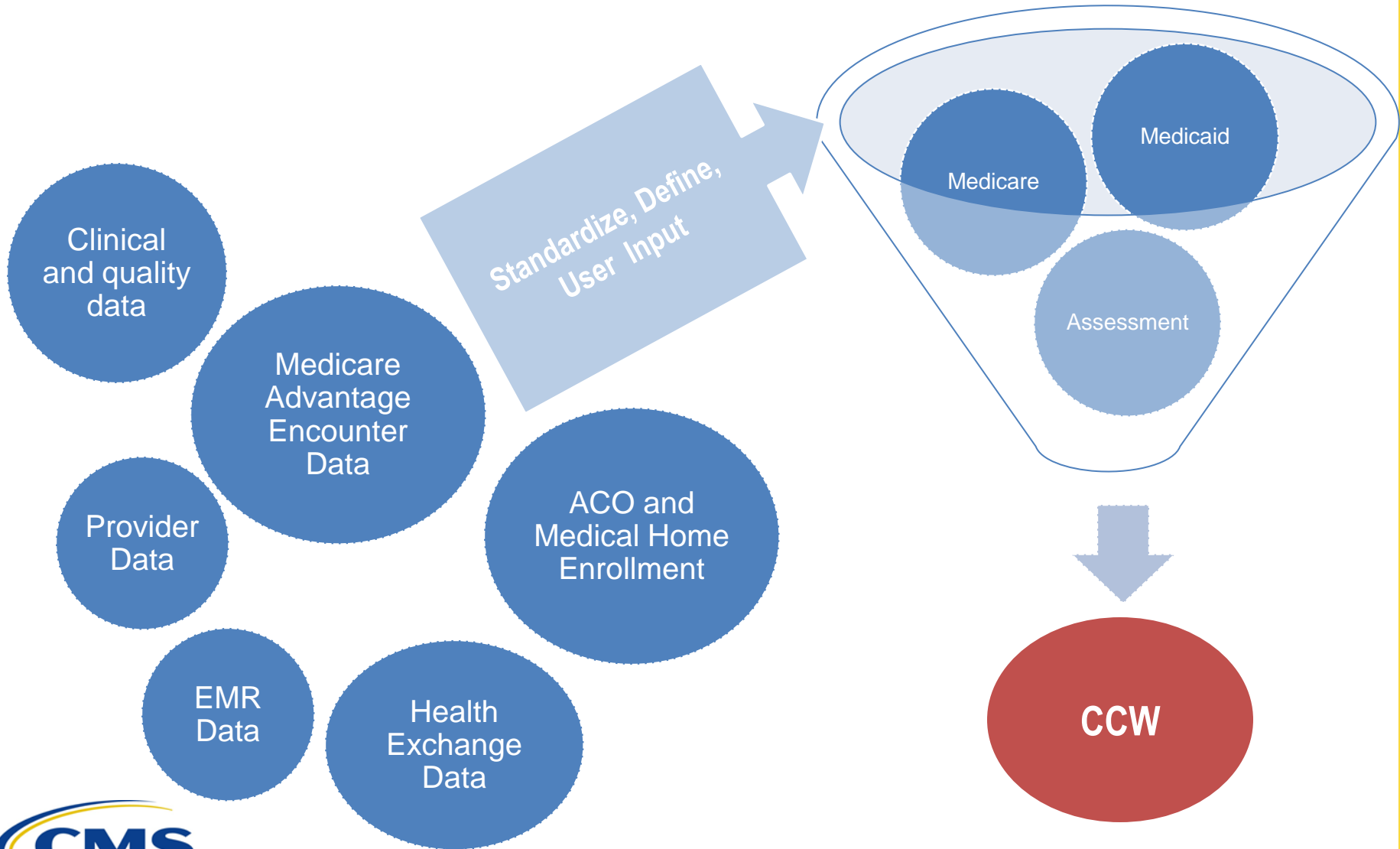
CCW Data Enclave Pilot

ACCESS

- Increases data access > VPN and Virtual Desktop
- Utilize personal laptops

SECURITY

- Increases data security > no shipping of external media
- Satisfies all privacy and security requirements

COST

- Increases efficiency of data delivery
- Reduces infrastructure costs for researcher

DATA & ANALYSIS

- Secure File Transfer System (SFTS) transfers data files efficiently and securely
- Convenient, quicker, and efficient access to CCW data
- Perform analyses and data manipulation
- Technical/Analytic support from CCW staff
- Statistical data output review

CMS Public Use Files

Claims Based PUF	Unit of Analysis
2008 & 2010 Hospice PUF	Beneficiary
2008 & 2010 Skilled Nursing Facility PUF	
2008 & 2010 Home Health Agency PUF	
Data Entrepreneurs Synthetic PUF (DE-SynPUF)*	
2008 & 2010 Durable Medical Equipment PUF	Line Item
2008 & 2010 Carrier PUF	
2008 & 2010 Outpatient PUF	Procedure
2008 & 2010 Chronic Condition PUF	Profile
2008 Prescription Drug Event PUF	Event
2008 Inpatient PUF	Claim
2010 Institutional Provider & Beneficiary Summary PUF	Institution
(2007, 2009-10) Geographic Variation PUF	Geography

* Available Winter 2013

Interactive and High-value Information/Data Products

- Analytic Tools
  - Geographic Variation data

- Interactive Analytics
  - Chronic Conditions dashboard (current)
  - Geographic Variation dashboard (in development)
  - Enrollment dashboard (in development)

- Data Navigator

Geographic Variation (Data & Analytics)

- Database in CCW with 100% Medicare claims data for beneficiaries enrolled in FFS for <u>2007-2011</u>

  - Geography: state, county, hospital referral region (HRR)

  - Over 300 indicators

  - Added quality indicators: readmissions, ED visits, PAHs, Hospital Compare

  - Data available publicly through Health Indicators Warehouse, Institute of Medicine, and *HealthData.gov*

- Example questions that Geographic Variation Database can answer:

  - What is Medicare spending in Arkansas? How does it compare across counties?

  - What is the readmission rate in my area? Is it above or below the national average?

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Chronic Condition Dashboard (Coming Soon!)

Chronic Conditions Dashboard: Medicare Spending
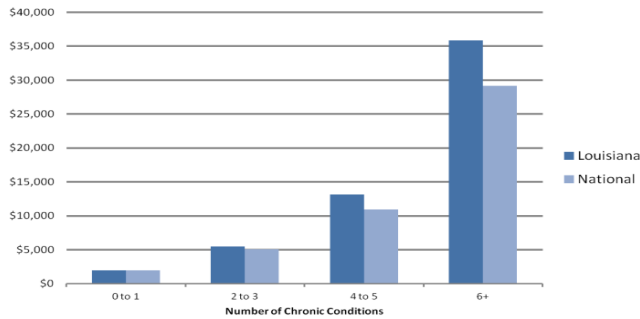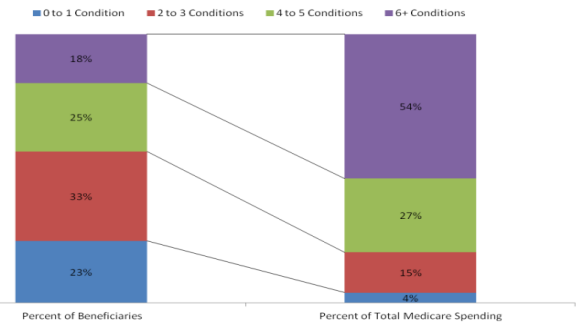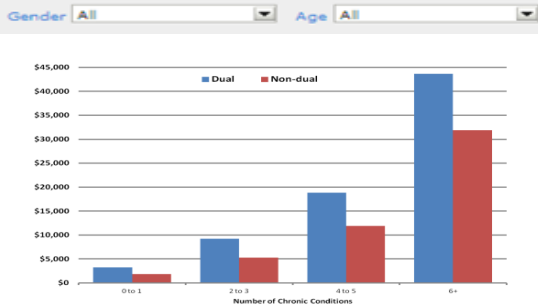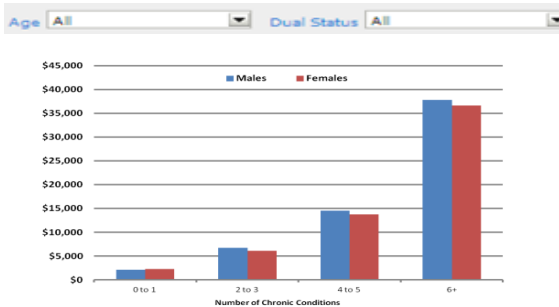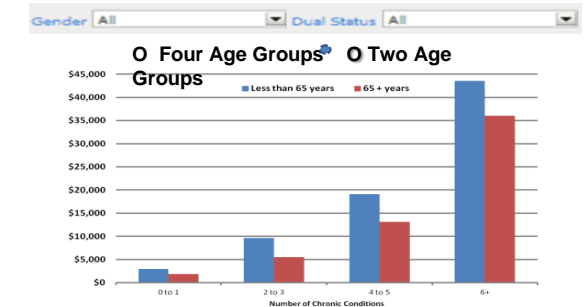
CMS Data Navigator – Now LIVE!

Visit the Data Navigator at http://dnav.cms.gov/

OIPDA will be debuting an enhanced version in July 2013!

MCBS: Informing Past, Current & Future Analysis
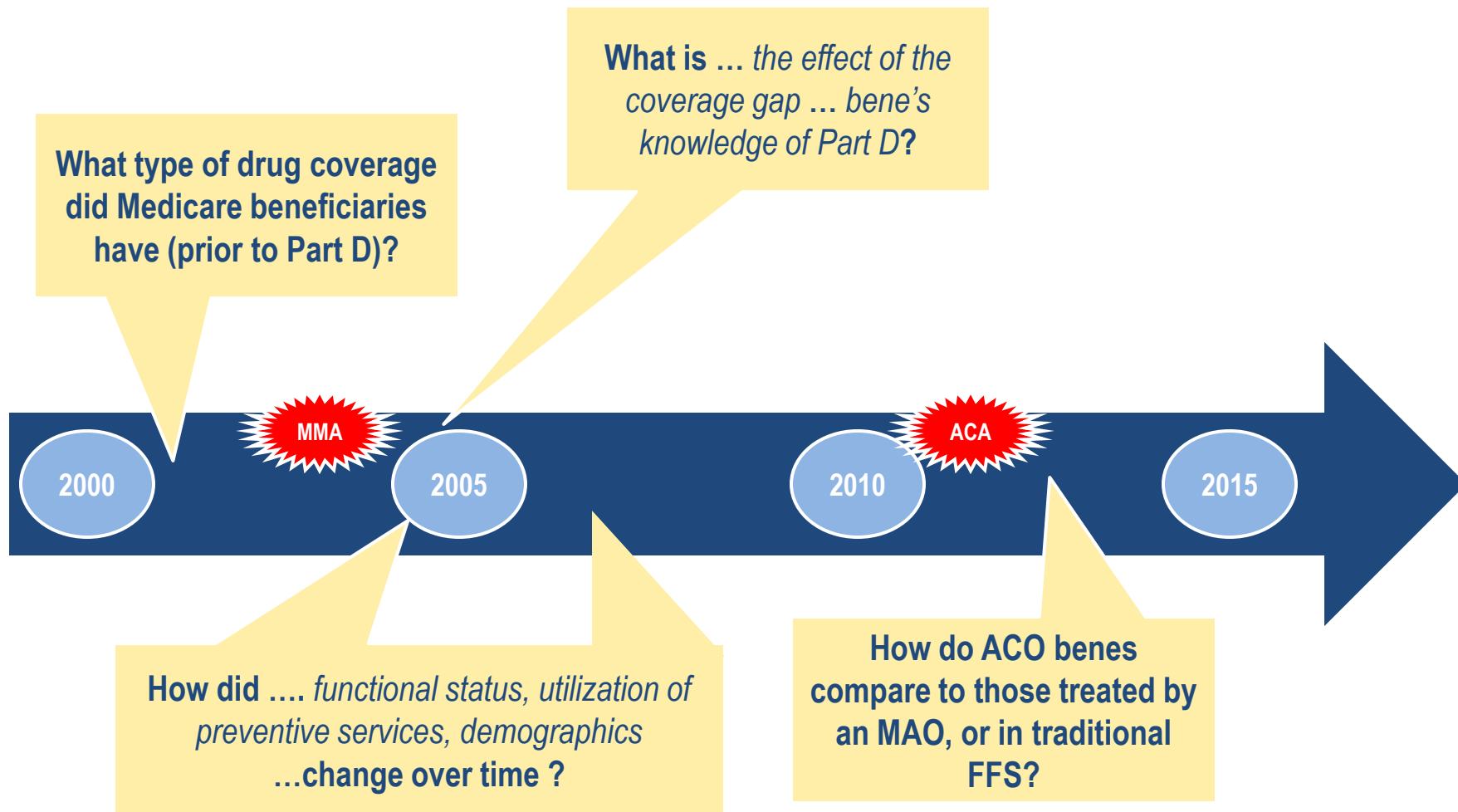
ACA-related Enhancements to MCBS

- Currently Captured

    - <u>Premiums & Cost-sharing</u> – premium tax credits and cost-sharing reductions (S. 1402), temporary adjustment to the calculation of Part B premiums (S. 3402), etc.

    - <u>Changes to Part D</u> - coverage gap closure (S. 3315), catastrophic limit reduction (S. 3315), discounts (S. 3301)

    - <u>Utilization and beneficiary knowledge of Medicare-covered preventive services</u> – (S. 4103, 3202, 4004)

    - <u>Patient activation/engagement (supplement)</u>

ACA-related Enhancements to MCBS

- Planned

  - <u>Improved Data Collection to Reduce Health Disparities</u> (S. 4302) – Starting in 2014. (Planned)

    - Race/ethnicity to increase from 5 to 12 categories.

  - Question(s) on electronic health record use at your PCP

  - Enhanced reporting on important subpopulations, programs, Medicare provisions

    - Pursuing sample redesign, estimation and/or CMS administrative data strategies to capture new populations, such as ACOs, and improved reporting on dual-eligibles and minorities.

  - Uses of new ACA-related data (e.g. MA encounter to survey data matching).

  - Opportunity for Externally Funded Questions/Content